

COMPANY PROPRIETARY INFORMATION

PROGRESS REPORT (Before First Commercial Sale)

Progress Report Schedule

| Due date | For period |
|-------------|----------------------|
| February 28 | July 1 - December 31 |
| August 31 | January 1 – June 30 |

| LICENSE NUMBER | LICENSEE or SUBLICENSEE NAME | REPORTING PERIOD | REPORT DATE |
|----------------|------------------------------|------------------|-------------|
| | | | |

| Summary of work completed | Dates Milestones achieved | Resources (\$ Spent for Reporting Period | Key scientific discoveries |
|---------------------------|---------------------------|--|----------------------------|
| | | | |

| Summary of Work in Progress | Current Schedule of Anticipated Events or Milestones (with Dates) |
|--|--|
| Description of Licensed Products or Licensed Methods under development | Licensed Patents/Copyrights Practiced or Incorporated into each Licensed Product/Licensed Method under development |
| Anticipated market introduction date of Licensed Products/Methods | Commercial Name of Licensed Products/Methods |

PROGRESS REPORT [Before First Commercial Sale] (continued)

| | YES | NO |
|---|-----|----|
| Changes in ownership (see Article on ASSIGNABILITY)? If YES, specify: | | |
| Any changes in business relationships (e.g., joint ventures, affiliates, strategic alliances, etc.)? If YES, specify: | | |
| Change in entity status (i.e., from small business to large or large to small) | | |
| Any sublicenses granted (if license provides those rights)? If YES, specify sublicensee(s): (Did you send copies of the sublicense(s) to LLNL?) | | |
| Name and title of person completing this form: <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Contact information: Telephone number: _____ Fax number: _____ Email address: _____ | | |
| <p>Thank you for taking the time to provide this information. If you have any questions, please contact: Kathy Kaufman (tel: 925/422-2646; fax: 925/423-8988; email: kaufman3@llnl.gov) or Nancy Preston (tel: 925/422-0174; fax: 925/423-8988; email: preston4@llnl.gov)</p> | | |

COMPANY PROPRIETARY INFORMATION